Strengthening Community Capacity in Detroit to Influence Policy Change for Health Equity

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Policy and systems change is essential to attaining public health equity, and involving communities disproportionately experiencing health inequities is critical. Successful policy mobilization requires specific community capacities, many of which exist in marginalized communities but can be strengthened and amplified. Yet attention to strengthening capacity of communities historically excluded from the policy process has been limited. This study applies a community capacity framework to analyze Neighborhoods Working in Partnership (NWP), a multiyear, community-based participatory initiative to strengthen skills and capacity of Detroit residents to equitably engage with diverse partners in all aspects of the policy process. Findings indicate NWP strengthened key dimensions of policy capacity, including skills, participation, leadership, and community power. We discuss strengths and limitations of NWP, and implications for strengthening capacity of disenfranchised communities to engage in local policy action toward the long-term goal of community well-being and equity.

“The most important thing about the training is knowing that I have power that will positively impact my neighborhood and that now I know how to use it.”  
(Workshop participant)

Background

There is considerable evidence that stressors in the social and physical environment and lack of access to resources contribute to poorer health and widening racial/ethnic and economic inequities (Braveman, Cubbin, Egerter, Williams, & Pamuk, 2010; Israel et al., 2010; Link & Phelan, 1995; Schulz, Williams, Israel, & Lempert, 2002). Low-income urban communities and communities of color are disproportionately affected, for example, through exposure to deteriorated housing and neighborhood conditions such as blight and crime, and limited access to jobs and quality services and amenities that can help to protect health (Schulz & Northridge, 2004; Williams & Collins, 2001). These structural conditions are influenced by state and national as well as local and organizational policies, and so policy change is an important strategy to have a more sustained impact on community well-being (Phelan, Link, & Tehranifar, 2010; Williams & Jackson, 2005). Thus, addressing the underlying social determinants of health equity requires the capacity to work effectively with policy and decision makers to address factors such as housing, neighborhood conditions, education, and employment opportunities foundational to the attainment of public health.

A successful policy advocacy campaign requires an essential set of skills or capacities (Freudenberg, Rogers, Ritas, & Nerney, 2005; Minkler, Vasquez, Tajik, & Petersen, 2008; Ritas, 2003). Successful models for influencing policy emphasize the need to engage communities that have historically been marginalized or excluded from this process (e.g., low-income communities, communities of color) in policy campaigns (Freudenberg & Tsui, 2014; Themba-Nixon, Minkler, & Freudenberg, 2008). Engagement of community members in policy change efforts can lead to increases in power...
through translation of concerns into concrete action and identification of policy solutions to issues inadequately addressed by current policy (Cheezum et al., 2013; Ritas, 2003; Roe, Minkler, & Saunders, 1995; Themba-Nixon et al., 2008; Thembba, 1999). Many communities regularly engage in policy advocacy efforts, often with a great deal of skill and success. However, relatively few examples exist of initiatives designed to both build on and strengthen dimensions of community capacity, with particular attention to policy advocacy to address determinants of public health and equity (for exceptions, see Minkler, Vásquez, Chang, & Miller, 2009; Sharpe et al., 2015). The recognition that many of these capacities already exist within marginalized communities, and that they can be strengthened and amplified, is central to community-based participatory approaches to research and action, and underlies the efforts described here. In this article, we use a community capacity framework to analyze Neighborhoods Working in Partnership (NWP), a program to build skills and capacity of residents to engage in the policy change arena, and its implementation in several communities historically excluded from the policy change process and disproportionately experiencing health inequities. The NWP program and evaluation results are reported in detail elsewhere (Cheezum et al., 2013; Israel et al., 2010). In this study we examine capacities that were strengthened through NWP, and implications for community mobilization to change policies that impact neighborhoods and schools, and ultimately community well-being and equity.

**Detroit Context**

In recent years, there has been increased national attention to addressing the challenges facing Detroit, including the loss of jobs and population, systematic disinvestment in services and neighborhoods (Detroit Works Project, 2012; Dewar & Thomas, 2013; Farley, Danziger, & Holzer, 2000; Kinney, 2016; Sugrue, 1996), and disenfranchisement from political decision-making at city, regional, and state levels (Smith & Kirkpatrick, 2015). Most notably, city residents were stripped of political representation and civil authority over city government and schools through Public Act 4 imposed by the Michigan legislature in 2011 that allowed the governor to replace democratically-elected public officials with an appointed emergency manager for both local governments and school districts (Legislature, 2011). Although Michigan citizens voted to repeal the law in 2012, the legislature reinstated it a month later by passing Public Act 436 (Legislature, 2012).

Simultaneously, and often overlooked in discussions of Detroit, are the considerable resources, ecological assets (Thomas & Bekkerling, 2015), capacities, and strengths that exist within Detroit’s neighborhoods and residents. A long history of activism undergirds contemporary Detroit, including involvement in and influence over the Labor and Civil Rights Movements (Farley et al., 2000; Sugrue, 1996; Thompson, 2001), and strong traditions of advocacy, social justice, and critical analyses (Boggs & Kurashige, 2012; Ward, 2016). The magnitude and nature of Detroit’s current challenges, and the need for substantive policy change, requires the engagement of on-the-ground knowledge and expertise of Detroit community residents, and the amplification or extension of those with the skills and capacities to engage in advocacy for policy change toward greater equity.

**The Detroit URC: Building Capacity for Policy Change**

Building on this history of activism, Neighborhoods Working in Partnership: Building Capacity for Policy Change, was established in 2007 by the Detroit Community-Academic Urban Research Center (Detroit URC; detroiturc.org), a community-based participatory research (CBPR) partnership working for over 20 years to improve the health and well-being of Detroit residents (Israel et al., 2001). The Detroit URC aims to promote equitable community-academic partnerships to enhance understanding of how social and physical environments affect health, and to translate that knowledge into interventions, programs, and policies that build upon community resources and strengths to promote health equity.

A major goal of the Detroit URC is to increase capacity of academic, community, and health practice entities to engage in policy advocacy processes, including translation of research findings into policies, dissemination of those policies, and educating decision makers at organizational, local, state, and federal levels regarding opportunities to promote more equitable public policies and ultimately health. To this end, in 2007, with funding from The Skillman Foundation and guidance from PolicyLink, a national research and action institute to advance equity (policylink.org), the Detroit URC developed and implemented Neighborhoods Working in Partnership (NWP), a six-year initiative to build capacity of communities to influence policy and systems change in Detroit (Israel et al., 2010). The overall aims were to strengthen policy advocacy skills within and across Detroit neighborhoods; extend community voices in policy making; and impact policies toward creating healthy neighborhoods.
NWP was developed within the context of a broader 10-year Good Neighborhoods Initiative (GNI), funded by The Skillman Foundation, to transform six Detroit neighborhoods into supportive environments for children (Colombo, 2016). The GNI aimed to establish local governance groups within each neighborhood, which would develop overall action plans facilitated by neighborhood liaisons. Thus, NWP was intended to enhance the advocacy skills and community capacity needed to effectively impact policy change identified and initiated by neighborhood leaders within the context of the GNI. While NWP did not organize policy campaigns per se, it supported local efforts to address social determinants of health through policy change.

Conceptual Framework and Approach

In this paper, we apply the concept of community capacity (Goodman et al., 1998) as an overarching framework to analyze the NWP initiative. Goodman and colleagues (1998) defined community capacity as both “the characteristics of communities that affect their ability to identify, mobilize and address social and public health problems,” and as “the cultivation and use of transferable knowledge, skills, systems and resources that affect community- and individual-level changes consistent with public health-related goals and objectives” (p. 259). Community engagement in policy change both requires and builds community capacity along a number of dimensions. The 10 dimensions of community capacity initially identified by Goodman and colleagues (1998) include leadership, participation, skills, resources, social and organizational networks, sense of community, understanding of community history, community power, community values, and critical reflection (Goodman et al.). Goodman and colleagues also specify that community capacity may be conceptualized as both a process and outcome by which capacity is created. This framework has previously been adapted to consider community capacity to address environmental health threats (Freudenberg, 2004) and to incorporate CBPR characteristics (Minkler, Vasquez, Tajik & Peterson, 2008), conceptualizing dimensions of community capacity as factors that contribute to improving the environment and successful collaboration. We build on and extend this work by examining how a community-based participatory process to build policy capacity at the local level and engage residents in advocacy processes also enhances community capacity more broadly.

NWP was collaboratively designed, implemented, and evaluated from 2007-2013 by a team of 15 community and academic partners selected by the Detroit URC, all of whom had extensive experience as effective facilitators and trainers and a wide range of policy advocacy experience. The nine Detroit-based community trainers represented diverse fields (e.g., health policy analyst, librarian, labor organizer, educator, youth advocate, health educator) and the six academic trainers were University of Michigan faculty from the Schools of Public Health and Social Work who were also Detroit URC Board Members. This team used a community-based participatory approach that emphasized equitable collaboration and integrated the extensive skills, experience, and knowledge of community and academic partners (Israel, Schulz, Parker, & Becker, 2001). The program was designed for community residents in Detroit, and thus integrated deep knowledge of Detroit history and context, both citywide and by specific neighborhoods and populations.

The overall NWP policy capacity building approach combined interactive training, hands-on tools and resources, and ongoing strategic support for groups of both adults and youth mobilizing for policy change (Israel et al., 2010). The curriculum and pedagogy incorporated experiential modes of learning and doing to analyze locally prioritized policy issues. The training used an experiential action learning model (Kolb, 1984), and included a combination of interactive presentations, small group skill-building activities applied to real-life community concerns, reflection, and integration into the ongoing work of the neighborhoods (Browner & Preziosi, 1995; Johnson & Johnson, 2017). The program acknowledged and built upon the existing skills, experiences, and knowledge of participants. Further, the content and process of the policy trainings were designed to share and enhance existing participant capacities, as well as build new policy-specific skills, knowledge, and relationships that could be transferred to multiple issues. Because of the existence of unelected governance structures in Detroit and historical circumstances that disenfranchise residents, NWP focused primarily on knowledge and skills needed to impact “little p” policies that local residents may be more likely to influence (e.g., administrative rules, enforcement, organizational policies) rather than “big P” policies (e.g., state and federal legislation). NWP methods, pedagogy, and evaluation results are described in more detail elsewhere (Cheezum et al., 2013; Clynes, 2013; Israel et al., 2010).
NWP was first implemented as a 4-part series in 7 neighborhoods (2007-2008). Subsequently, the training was carried out in multiple formats (“one-offs,” day-long trainings, workshop series over weeks or months) and for widely different groups (e.g., a high school honors class, nonprofit organization directors, workforce trainees, community-driven planning participants). The program was funded one year at a time, thus the evaluation design did not allow for multiple year follow up.

NWP Training Curriculum and Relevant Dimensions of Community Capacity

The NWP training curriculum included separate modules, each of which fosters key competencies (i.e., skill, knowledge, ability) needed for policy advocacy. The modules can be combined into one or more training sessions, or used as stand-alone tools to assist an organization with a specific stage of a policy campaign in which they are engaged. Both the content and the process of the modules are designed to build multiple dimensions of community capacity.

Curriculum content and methods include: understanding what policy is and how it differs from programs; conducting a power assessment; selecting effective policy change strategies; designing a policy advocacy campaign and building coalitions; communicating the policy message; preparing to talk to policy makers; and selecting policy goals based on community-identified criteria. The content and competencies that participants are intended to gain from each module are as follows:

1. **What is Policy? Programs vs Policies**: Understand the difference between programs and policies; identify program and policy solutions to address specific issues the community has prioritized; know the advantages of a policy approach to change.

2. **Using Our Power: Power Mapping**: Understand the types and role of power; identify key players, including the decision-maker, supporters, and opponents; map out level and amount of power and relationships to guide policy advocacy strategy.

3. **Choosing Strategies for Winning Policy Change**: Identify possible policy advocacy strategies and tactics; analyze when to use different strategies based on campaign goals and resources.

4. **Designing a Policy Advocacy Campaign**: Frame the policy issue; build a coalition; gather information; develop the strategy; engage the public and policymakers; critically reflect on and analyze successes and failures; plan next steps.

5. **Using Our Voices: Preparing to Talk with Policymakers**: Identify audiences; frame the message for different constituents; create effective talking points; act in coalition to show power; build leadership.

6. **Using Communications for Policy Change**: Gather information on communications methods; build skills; develop relationships to enhance community voice; plan actions.

7. **Choosing the Right Policy Goals**: Gather information on community history; develop selection criteria based on community values and history, resources, skills; select short and long term goals that enhance community power.

Each module addressed one or more dimensions of capacity, both as a process and outcome. For example, while the content outcome may be increased knowledge (What is policy?), the activity to gain that knowledge involved a group process in which participants both share information and work together to solve a problem. Table 1 presents the training modules, the dimensions of community capacity particularly highlighted in that module, and how the training activities incorporated and applied those dimensions for policy change, i.e., the particular skills and knowledge gained in the module. As the dimensions are interrelated and may overlap, the table only highlights key capacities developed in each module, and presents skills, knowledge, and abilities together.

For example, as shown in Table 1, Module 2-Powermapping highlights three dimensions of community capacity listed in column 2 – participation, social and organizational networks, and community power. These are defined in column 3. Specific policy-related skills, knowledge, and capabilities conveyed by this module are listed in the final column.

**Methods**

We use a case study approach to describe and analyze the Detroit URC’s policy capacity building efforts from 2007 to 2015. This study draws upon published evaluation findings from the initial NWP series program, as well as evaluation results from carrying out the program in multiple formats for varied audiences from 2007-2013 in conjunction with the GNI and other venues from 2013-2015 within Detroit but not funded by the GNI. We used a participatory and formative evaluation approach that engaged community and academic policy...
<table>
<thead>
<tr>
<th>Training Module</th>
<th>Relevant Dimensions of Community Capacity*</th>
<th>Definitions of Each Dimension Relevant to Policy Advocacy*</th>
<th>Policy Advocacy Skills, Knowledge, and Abilities</th>
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</thead>
<tbody>
<tr>
<td>1-What is Policy? Programs vs Policies</td>
<td>Leadership Skills</td>
<td>Experienced, skilled leaders willing to address issues Relevant organizational, scientific, political, and information-seeking skills</td>
<td>Understand the difference between programs and policies; know program and policy solutions to address specific issues the community has prioritized; understand advantages of a policy approach to change.</td>
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<tr>
<td>2-Powermapping</td>
<td>Participation Social/organizational networks Community power</td>
<td>Broad, active resident participation to address issues Horizontal and vertical linkages among participants, their organizations, and other groups Ability to act to make or resist change that affects the community’s environment</td>
<td>Understand the role of types of power; identify key players, including the decision-maker, supporters, and opponents; map out level and amount of power and relationships to guide policy advocacy strategy.</td>
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<tr>
<td>3-Choosing Strategies for Policy Advocacy</td>
<td>Skills Understanding of community history</td>
<td>Relevant organizational, scientific, political, and information seeking skills Awareness of previous efforts to address related problems, and understanding of how the community fares relative to others</td>
<td>Identify possible policy advocacy strategies and tactics; understand when to use different strategies based on campaign goals and resources.</td>
</tr>
<tr>
<td>4-Designing a Policy Advocacy Campaign</td>
<td>Resources Social and organizational networks Sense of community</td>
<td>Financial, human, and social resources available Horizontal and vertical linkages among participants, their organizations and other groups Shared identity and a willingness to take action</td>
<td>Frame the issue; build a coalition; gather information; develop the strategy; engage the public and policymakers; critically reflect on and analyze successes and failures; plan next steps.</td>
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<tr>
<td>5-Using Our Voices - Talking with Policymakers</td>
<td>Skills Understanding of community history</td>
<td>Relevant organizational, scientific, political, and information seeking skills Awareness of previous efforts to address related problems, and understanding of how the community fares relative to others</td>
<td>Identify audiences; frame the message for different constituents; create talking points; act in coalition to show power; build leadership.</td>
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<tr>
<td>6-Communications Plan for Policy Change</td>
<td>Skills and Resources Participation</td>
<td>Relevant skills and resources Broad cross-section willing to address issues</td>
<td>Gather information on communications methods; build skills; develop relationships to enhance community voice; plan actions.</td>
</tr>
<tr>
<td>7-Choosing the Right Policy Goal</td>
<td>Leadership Participation Community values</td>
<td>Experienced, skilled leaders Broad, active resident participation to address issues Shared norms and standards</td>
<td>Gather information on community history; develop selection criteria based on community values and history, resources, skills; select short long term goals that enhance community power</td>
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trainers, staff, and Detroit URC Board members in all aspects of the evaluation (Coombe, 2012; Patton, 2002). The mixed methods evaluation included closed-ended participant questionnaires administered at each training to evaluate process and outcomes, and in-depth focus groups to assess impact (Cheezum et al., 2013; Israel et al., 2010). In addition, this study draws on findings from analysis and application of formative evaluation results by the policy trainers after each training, periodic assessment of the policy advocacy approach by the entire community-academic trainer team, strategic planning to apply evaluation findings to future programming, and documentation from policy training activities carried out between 2013-2015.

Participants and Program Reach

During the NWP program from 2007-2013, 778 individuals from seven focus areas of Detroit and citywide participated in at least one policy advocacy workshop. A third (260) participated in more than one training series. Two-thirds of participants were adults, and one-third were youth between the ages of 14-21. Numerous organizations were represented, and one workshop series was conducted for three cohorts of a year-long, organizational development program for nonprofit leaders across the city. In addition, NWP supported three specific Detroit communities over several years in ongoing mobilization efforts, and continued to work with them on issues including: blight and demolition; gun violence and schools; and environmental justice, education, and immigrant rights. Further, a two-year companion project supported by the Robert Wood Johnson Foundation focused on building policy advocacy skills among parents and students to increase their voices to inform policy and systems change of selected schools controlled by the state Education Achievement Authority (detroiturc.org/affiliated-partners/past-projects/84-nta-partnership.html). Capacity building included developing and disseminating a review of evidence-based policies and practices for parent and student engagement, conducting ongoing workshops in high school classes, building networks and leadership, and providing strategic support for specific advocacy actions.

Analyses

The analysis presented here focuses on assessing the impact of the NWP approach, using the lens of the community capacity framework described above, to identify and analyze ways in which program activities contributed to key short-term outcomes and longer-term impacts. Due to lack of standardization between trainings and limited comparability among types of participants, we did not aggregate evaluation survey results across all trainings. First, we present data indicating the reach of the program in the community. Second, we examine quantitative and qualitative evaluation data from training participants to assess key dimensions of community capacity developed through the training modules. Next, we examine examples of organizations that have applied NWP skills and knowledge to bring about change in their communities, while building sustainable community capacity in the dimensions described above. Finally, we present a more in-depth case example to illustrate how one organization has applied NWP skills and knowledge to address challenges identified in their community.

Results

Key Dimensions of Community Capacity:
Evaluation Results from Training Participants

Findings from evaluation of the initial training workshops indicated increased community capacity in multiple dimensions (Cheezum et al., 2013; Israel et al., 2010). Below we present findings by several specific dimensions of community capacity.
relevant to policy change, followed by integration of multiple dimensions.

Skills, knowledge, and resources. Across all trainings, between 80-100% of participants agreed or strongly agreed that they learned new skills, found the training useful, and were satisfied with both the content and process of the training. In response to evaluation items asking participants’ assessment of the most important training components, participants indicated learning about the advocacy process, skills, and tools (e.g., power-mapping, communicating with policymakers), and group processes that brought people together to learn how to make things happen jointly, network, communicate well with each other, speak out, and be heard. Asked to describe in what ways the training made a difference in their involvement in the community, participants most commonly described learning that they have power and the information, tools, and skills needed to organize and bring about change in their community.

Participation. Active participation to address community concerns is a key dimension of community capacity (Freudenberg et al., 2011). At the end of the initial training series, 88% of participants expressed that they intended to apply the training to work towards policy change. Results were similar for subsequent workshops, with a range of 70-100% of participants reporting that they intended to work with others for policy change in the future. In follow-up focus groups, participants described subsequent engagement in a variety of activities to change policies to address neighborhood concerns, including abandoned houses, school safety, immigration reform, unemployment, housing foreclosures, and lack of affordable housing.

Community power. In the initial series, from pre to post program, participants reported a statistically significant increase (mean 3.95 to 4.23 on a scale of 5, \( p = 0.01 \)) in self-efficacy, expressed as having the ability to work for policy change. Participants reported high levels of collective efficacy at both pre and post (4.37 and 4.48 on a scale of 5, \( p = 0.20 \)), measured as the belief that by working together they could change policies affecting their own neighborhood. In focus groups and open-ended comments, participants indicated that the trainings had enhanced their sense of individual and group power and efficacy (Cheezum et al., 2013; Israel et al., 2010). For example, in one training workshop youth role-played a meeting with a policymaker in front of the entire group. During the debriefing session in which she was asked, “How did it feel to talk in front of all those people?” a 16 year-old girl replied, “Like I had a voice!”

A common theme among participants was that they felt empowered to impact change in their community following the trainings. Focus group participants indicated that the NWP training had two important impacts on Detroit residents: the opportunity to work together cohesively on mutually identified problems, and increased empowerment in terms of skills and the belief in their collective ability to effect change. In the words of two NWP training participants:

“The most valuable information that I received from the training is that I can make a change. And now with all of these new resources I now have a clear understanding on getting “the ball rolling” when dealing with change in my community.”

“Working together – both young and old – our voices will be heard.”

Integration of multiple dimensions. The last column of Table 1 presents results along multiple dimensions achieved simultaneously from participation in the training. For example, in the power-mapping activity, participants drew upon existing resources within the group, while developing a skill using a new resource that enabled them to act on a pressing community problem that they had prioritized (e.g., enforce anti-dumping laws). In small groups, they analyzed the specific policy issue and identified the decision maker with power to address the issue. They then identified organizations and individuals who were supportive of the policy and could be mobilized for action, and those who would oppose the policy and needed to be countered or resisted. Thus, by engaging in the training activities, residents participated together, identified existing networks and resources that can be activated, and combined knowledge to critically analyze how to build on community strengths to enhance community power and plan effective advocacy strategies to influence the policymaker and resist opponents. Through this process, participants shared community history and values, exhibited leadership, and came away with a stronger sense of community and efficacy. All of these are aspects of community capacity essential for effective policy advocacy.

Application of NWP Approach to Policy Change and Community Capacity

Table 2 presents selected examples of organizations successfully applying NWP skills and knowledge to bring about change in their neighborhoods, while building sustainable community capacity in key dimensions.

The first two columns identify the specific
### Table 2
Selected Accomplishments and Impacts of Neighborhoods Working in Partnership: Building Capacity for Policy Change

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<tbody>
<tr>
<td>Cody Rouge Community Action Alliance (CRCAA) 2007-2008, 2012-ongoing</td>
<td>Adults and youth participated in a training series, ongoing support, and mobilization to identify and develop policy to reduce blight.</td>
<td>Framed message, developed talking points, identified location/owners of blighted properties in neighborhood, held media campaign, met with decision-makers</td>
<td>The campaign garnered extensive in-depth media coverage. CRCAA met with key public official to make a case for demolitions near schools.</td>
<td>Cody Rouge was selected to receive state-funded demolitions near schools.</td>
<td>Increased leadership, participation, skills, ability to attract resources, sense of community, and power to influence decisions affecting their community.</td>
</tr>
<tr>
<td>Lower Eastside Action Plan (LEAP) 2013-ongoing</td>
<td>Residents engaged in comprehensive land use planning initiative attended a policy advocacy training focused on community land use and the environment.</td>
<td>Identified environmental impacts on health; considered policy solutions; designed advocacy campaigns on urban agriculture, sewage, complete streets, burning buildings</td>
<td>Subsequent LEAP community planning/prioritizing meetings included a specific policy focus. Participants are also using skills in other initiatives.</td>
<td>Participants understood policy options and were better prepared to make decisions and contribute to policy-level planning.</td>
<td>Increased leadership, skills, knowledge to analyze problems and identify solutions; critical reflection to analyze community conditions; enhanced community power.</td>
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### Youth Development

| Detroit Hispanic Development Corporation (DHDC) 2010-2011-ongoing             | Youth participated in policy advocacy workshop series with ongoing support from policy trainer and a youth program staff, who was trained by NWP. | Organized campaign to stop layoff of their high school principal, including power mapped to identify influence and supporters, framed message, used multiple media to communicate | Youth held press conference and demonstration that resulted in a meeting with DPS Emergency Financial Manager. | The principal, an effective and respected school leader, was reinstated to a district-wide position. | Increased leadership, participation, skills, sense of community, and power of youth to resist negative decisions and make positive change. |
| DHDC Youth Leadership Council 2009-2010                                       | Youth Council participated in policy advocacy workshop series with subsequent support from NWP policy trainer and NWP-trained youth program staff. | Targeted policy solutions, identified key policy makers, developed communication plan, framed their messages, developed talking points | Youth planned and conducted a panel discussion with local and state policy makers to advocate for improvements in schools to reduce drop-out, enhance safety and youth engagement. | Youth continued to engage with policy makers, local foundations, and community organizations to advocate for improved school environments. | Increased participation, skills, leadership, social and organizational networks. |

### Safety

| Neighborhood Service Organization Youth Initiatives Project 2013-ongoing       | Youth in ongoing youth development program participated in policy advocacy workshop series that focused on policies to keep guns out of schools. | Framed messages, developed talking points, and practiced meeting with different decision-maker audiences | High school students spoke at community forum and held press conference about the impact of gun violence on their community. | Youth are knowledgeable and prepared to meet with the governor’s aide. Governor vetoed bill that allowed guns in schools. | Increased skills, leadership, civic engagement, and power of youth; applied community values and understanding of community history to resist policy that would harm the community. |
Strengthening Community Capacity in Detroit to Influence Policy Change for Health Equity

Stop the School to Prison Pipeline 2010

Adult community leaders participated in trainings and received ongoing support and technical assistance to address the school-to-prison pipeline. Researched policies, linked to other school-to-prison pipeline efforts, developed communications, organized citywide forum

Held Community Forum attended by Congressman John Conyers. Congresswoman Conyers invited the group to be part of a national coalition being formed to sponsor federal legislation.

Education

Phoenix Multicultural Academy 2012-2013

Parents in school participated in policy advocacy workshop to address 8th grade to high school transition/exclusion. Past training participants requested assistance to address a high school principal’s lockout of students who arrived late.

Set policy change priority, framed message, developed strategic questions for meeting with decision-maker. Organized parents and teachers, researched policies, identified potential supporters, communicated their message.

Parents prepared and presented specific questions to school system Chancellor at community forum. The parent group and teachers’ union met with the principal regarding parents’ concerns.

After a follow-up meeting with parents, the Chancellor directed principal to stop exclusionary practices. The principal rescinded the lockout policy.

Osborn Neighborhood Alliance 2009-2010

Parents in school participated in policy advocacy workshop to address 8th grade to high school transition/exclusion. Past training participants requested assistance to address a high school principal’s lockout of students who arrived late.

Set policy change priority, framed message, developed strategic questions for meeting with decision-maker. Organized parents and teachers, researched policies, identified potential supporters, communicated their message.

Parents prepared and presented specific questions to school system Chancellor at community forum. The parent group and teachers’ union met with the principal regarding parents’ concerns.

After a follow-up meeting with parents, the Chancellor directed principal to stop exclusionary practices. The principal rescinded the lockout policy.

Increased leadership, skills and knowledge, and critical reflection to analyze problems and identify solutions; utilized and extended social and organizational networks from local to national level.

<table>
<thead>
<tr>
<th>Group/Organization</th>
<th>Activity Description</th>
<th>Accomplishments</th>
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<tbody>
<tr>
<td>Phoenix Multicultural Academy 2012-2013</td>
<td>Parents in school participated in policy advocacy workshop to address 8th grade to high school transition/exclusion.</td>
<td>Set policy change priority, framed message, developed strategic questions for meeting with decision-maker. Organized parents and teachers, researched policies, identified potential supporters, communicated their message.</td>
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<td>Osborn Neighborhood Alliance 2009-2010</td>
<td>Parents in school participated in policy advocacy workshop to address 8th grade to high school transition/exclusion. Past training participants requested assistance to address a high school principal’s lockout of students who arrived late.</td>
<td>Set policy change priority, framed message, developed strategic questions for meeting with decision-maker. Organized parents and teachers, researched policies, identified potential supporters, communicated their message.</td>
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</tbody>
</table>

For example, in the first case in the table, NWP carried out an initial workshop series in 2007-2008 with the recently established Cody Rouge Community Action Alliance (CRCAA), followed by informal ongoing support for both youth and adult groups (columns 1-2). By 2012, CRCAA had prioritized reducing blight, particularly around the high school, and had organized successful clean-up/board-up programs and a resident safety patrol. Working with community leaders, NWP held another training series focused specifically on policy strategies to establish a blight-free zone around schools. CRCAA applied policy advocacy skills from the trainings to reframe their efforts, gather data, hold a media campaign, and meet with a local decision-maker (columns 2-4). The short-term outcome was allocation of state funding for demolitions near the local high school (column 5). Discussing the role and impact of NWP, the CRCAA director said, “This campaign was a direct result of the work you all [NWP] did with us to expand our focus and skills for policy change!” (Kenyetta Campbell, personal communication with Chris Coombe, September 2013, informal interview). The NWP training activities enhanced longer-term community capacity (column 6) in the following dimensions: leadership, skills, resources, and power to influence decisions affecting their community.

The table presents other selected accomplishments by the focus area of the policy effort, and lists in the final column the impacts on dimensions of community capacity for each of the illustrative groups/organizations.

Case Example: Application and Integration of Policy Advocacy Capacity at the Detroit Hispanic Development Corporation

Rooted in Detroit’s vibrant Latino community, the Detroit Hispanic Development Corporation (DHDC - dhdc1.org) is a longstanding nonprofit organization committed to make a difference by creating life changing opportunities for youth and
their families. DHDC’s founder and director, Angela Reyes (a co-author on this paper), was a member of the core group that initially developed NWP and continues to be engaged in the Detroit URC’s continuing policy advocacy training. She is engaged in policy advocacy at local, state, and national levels and is a leader in a statewide coalition to reform Michigan education policies. She has been a Board member of the Detroit URC since 1995.

DHDC program participants, staff, and other community residents participated in the initial NWP workshops in 2007. Since that time, the Detroit URC has conducted additional bi-lingual policy workshops with DHDC to address such community-identified issues as gang prevention, environmental justice, vacancy and blight, immigrant rights, and school reform. DHDC has integrated policy advocacy concepts and tools into staff training, leadership development, and ongoing programs for youth and families in order to increase community residents’ capacity as agents of change in their families and communities. For example, a youth who first participated in 2007 was hired several years later to direct the organization’s youth programs, incorporating policy into all programming.

DHDC uses the policy advocacy training and resources not only within the organization, but in the wider community to create a culture of policy advocacy and organizing. DHDC’s community organizing department transfers skills and tools learned from the policy advocacy training (e.g., powermapping, identifying the issue, framing messages) to organize campaigns for community level change on a number of issues. For example, parent groups from several schools have joined together to organize across schools to implement education reform for the city (Padres en Accion). At the high school level, youth are organizing to require that student councils be elected rather than appointed by school officials. A State legislator volunteered to work with them and they applied advocacy skills such as powermapping, framing messages, and mobilizing allies to engage in this process. Youth are also applying the policy advocacy skills to environmental justice issues, including how to do research, identify policy solutions, map power, and frame messages. In the process, youth are developing leadership skills, building social and organizational networks, strengthening community identity while also building allies, and developing their own voices and power.

Drawing from the NWP curriculum, DHDC leads and trains other grassroots groups working on policy change, such as 482Forward and members of the Southwest Detroit Colectivo Project. These efforts are designed to strengthen the Detroit Latino community’s voice and increase their capacity to participate in democratic processes, to address the systemic barriers affecting the Detroit Latino community’s equitable access to resources and opportunities for economic and political power, self-determination, and social justice. The Colectivo members used policy advocacy skills to pass a municipal ID ordinance and to organize residents and businesses to get parking kiosks removed because they were hurting local residents and businesses. The group used powermapping and research skills to identify who had authority to remove the kiosks. These skills are particularly important in contexts where decision-making authority is not transparent. Policy advocacy training is also integrated into support for small grant recipients, including a Latino women’s group working to get bilingual city services enforced, who learned how to focus efforts on who has the power.

Discussion

There have been few studies of the impact of advocacy training programs on building community capacity for policy change, despite recognition that engaging local communities in advocacy processes is essential for policy change, and that successful policy advocacy requires a set of specific skills and capacities. This paper addresses this gap by examining the impact of the NWP policy capacity building approach on key dimensions of community capacity. Further, the paper describes how a long-standing community academic partnership (the Detroit URC) used a community-based participatory approach to design and implement NWP, that built on local knowledge and strengths to further enhance community capacity toward greater health equity.

The findings from multiple sources presented here indicate that NWP training and ongoing support activities had an impact on several key dimensions of community capacity that are essential to policy change. First we discuss and analyze the findings in relation to several specific dimensions of community capacity: leadership, participation, and community power. Then we discuss the integration of those and other dimensions of community capacity more broadly, as participants applied their strengthened capacities for policy change in their communities.

Leadership and Participation

A wide range of adults and youth attended the training workshops, some of whom were involved in organizations and others who were not. Thus, NWP
both engaged existing community leaders and fos-
tered leadership and participation among residents 
who had not been active in policy change. Given the 
context of both disenfranchisement and substantial 
community strengths described earlier, NWP built 
upon existing community capacity, including grass-
roots and organizational leadership, networks, and 
history of political action. Several findings indicated 
that NWP contributed to enhanced community par-
ticipation. Training participants’ positive reports of 
satisfaction, usefulness, and relevance are important 
for fostering engagement. Expressed high intentions 
to apply the training to work for policy change is 
important because behavioral intention is widely 
considered to be an antecedent to behavior change 
(Webb & Sheeran, 2006).

Community Power

Enhancing participation, intention to work with 
others for change, and a sense of efficacy and com-
unity power are especially critical for communi-
ties that have experienced disenfranchisement. An 
important finding for future engagement and sense 
of power is that participants from diverse groups 
(e.g., youth, adults, organization leaders, unaffili-
ated residents) reported a statistically significant 
increase in self-efficacy after the initial training 
series, and others who participated in subsequent 
trainings largely reported high levels of collective 
efficacy. Both self-efficacy, the belief in one’s abil-
ity to succeed in a specific situation, and collective-
efficacy, the shared belief in a group’s ability to 
take action and solve problems (Bandura, 1997), 
play key roles in engaging people in policy change 
efforts to improve public health (Wallack, 1993). 
Empowerment was exemplified by participants 
speaking for the first time at town hall meetings, 
communicating with policy makers, and taking 
leadership roles in the policy advocacy efforts. In 
the case of youth, the policy advocacy workshops 
and ongoing support over several years enabled 
young people to gradually develop skills and con-
fidence that they put into action through youth de-
velopment programs of which they were a part. In 
general, youth are seldom asked to engage in com-

Intervention of Multiple Dimensions of Community 
Capacity – NWP Applied for Policy Change

Evaluation results from the trainings as well as 
the examples of how NWP was applied by orga-
nizations toward policy change demonstrate the 
integration of multiple dimensions of capacity. 
Participants reported aspects of both the content 
and process that were important to them, including 
knowledge, skills, and tools essential for carrying 
out successful advocacy campaigns. The findings 
indicate that several organizations that received 
both training and support applied what they learned 
to issues of importance to them, using capacities 
for critical reflection, problem-solving, and analy-

Community-based Participatory Approach

The Detroit URC, a longstanding community-
based participatory research partnership, drew on 
nearly two decades of experience as well as guid-
ance from PolicyLink in establishing NWP. NWP 
was designed and implemented using a community-
based participatory approach by longtime, experi-
enced community and academic partners. The policy-
and issue-specific knowledge and expertise and 
lived experience of the Detroit-based partners was 
key to the success of both the content and process 
of the training. Further, the active engagement of 
community and academic partners in the process of 
developing and conducting the training was critical 
to and strengthened our capacity to work together 
while simultaneously building capacity as a process 
and outcome among those who were trained.

Using an equitable community-based partici-
patory approach throughout, NWP built new and 
strengthened existing relationships between com-
munity leaders and residents, the policy trainers, 
foundations, and public officials. As leaders them-
selves, the community policy trainers are well-
respected and connected in the community, have 
a critical understanding of history and power in 
Detroit, and are highly engaged in policy-related 
initiatives, networks, and issues. Thus, the trainers 
played an essential role in transferring knowledge, 
skills, and connections to participants. Further, they 
were able to integrate policy capacity building into
their organizations and work, thus further enhancing community capacity dimensions of skills, resources, networks, and power. For example, a policy trainer hired two youth involved in NWP policy work as summer interns at her organization through a grant she received, and another policy trainer was elected to serve on the board of a key citywide education organization. This capacity building was particularly timely, as there were increasing opportunities for widespread engagement in emerging initiatives focused on regeneration and change in Detroit.

Building Capacity for Policy Change in Disenfranchised Communities

An important result of NWP was increased understanding of the difference between programs and policies, and the knowledge that “policy” encompasses more than legislation, as indicated in the findings presented in this article. Given past and current political disenfranchisement in Detroit (e.g., emergency managers governing both the city and schools, state legislature and governor under single-party control), it was important that NWP go beyond more traditional training programs that focus on how to pass legislation. For example, although community activists passed both a city ordinance and a ballot proposition requiring developers to negotiate community benefits agreements, the state legislature countered by passing a law to preempt local authority. Thus, the NWP training activities focused primarily on “little p” policies such as enforcement, budgets, organizational policies, and administrative rules that would bypass the higher level decision-making structure over which residents had little influence or control. Building the capacity to develop such strategies is more important than ever in the current political environment.

Limitations

There are several limitations to this study. First, although NWP developed a core training curriculum consisting of separate modules, the training was carried out in multiple formats for widely different groups, limiting comparability of evaluation findings across participants. Further, it was not feasible within the context of this program and budget to track and follow participants over time. This precluded our ability to document specific impacts on policies and health equity that may have emerged from this effort. However, because of the community-based approach and longstanding relationships, we were able to follow and document policy advocacy activities of some of the organizations that continued to request NWP support, as presented in this article. Future efforts need to evaluate more clearly how such policy advocacy training impacts decisions over time.

Second, the fluid policy environment in Detroit created particular challenges for training and engaging communities in policy processes. For example, it was difficult for both trainers and participants to identify who is in power, where to intervene, and how to build relationships with decision-makers who are not accountable to the population. Hence, some of the key knowledge and processes for changing policies may be less effective under these conditions. In addition, specific policy training materials and resources needed frequent updating.

Finally, both community capacity and policy change are long-term endeavors that can be difficult to track and evaluate (Guthrie, Louie, David, & Foster, 2005; Liberato, Brimblecombe, Ritchie, Ferguson, & Coveney, 2011). While a long-term aim of NWP was to impact policies toward creating healthy neighborhoods, we are unable to ascribe causality to the program and outcomes reported here.

Despite these limitations, there are a number of strengths to the efforts described here. These include active engagement of community and academic partners using participatory processes, clear description of the dimensions of capacity addressed in the training and that can be adapted by others, and evidence of effective advocacy to impact determinants of health at the local level.

Implications for Scholarship and Practice

We have identified several implications for future engaged scholarship and practice to build capacity for policy change in order to address social determinants of health and health equity. First, using a community-based participatory approach to engage both academics and community leaders in the development and implementation of the training builds on existing leadership and strengths of the community, and enhances transfer of capacities across many levels. Second, using a community capacity building framework ensures attention to multiple dimensions in training programs that are necessary for successfully enhancing the knowledge and skills needed to subsequently achieve policy change. The NWP strategy to build capacity for policy change rather than directly initiate and carry out a specific policy campaign is consistent with the Detroit URC’s overall approach to community-academic engagement. Supporting the ability of communities to engage in policy change processes helps to ensure power and determination of Detroit
residents and communities. Communities can apply enhanced capacity in leadership, participation, skills, and power, for example, to multiple issues, outcomes, and policy opportunities that arise. Third, embedding the policy advocacy work in existing organizations, as in the case of DHDC, further ensures that the enhanced capacity that results from the training is institutionalized and sustained. Finally, how to achieve policy change in the context of longstanding and escalating disenfranchisement is a critical question that needs further scholarly and practice attention. Equitable community-academic partnerships can provide an excellent process and setting to address this question.

Conclusion

In this study, we examined community capacities strengthened through the development and implementation of the NWP initiative, using a community-based participatory approach to build capacity for policy change toward the long-term goal of enhancing community well-being and equity. Both community capacity building and policy change are long-term endeavors that can benefit from academic and community collaboration, and that require sustained effort over time. Thus, strategies that build upon existing partnerships and community leadership and strengths, while enhancing participation of all residents can contribute to longer term sustainability of communities’ ability to promote healthy policy and equity.

While this initiative was situated in Detroit, the approach is relevant to other urban communities undergoing massive changes which will impact the present and future for residents, neighborhoods, and cities, as well as for the country as a whole. Helping people regain their sense of power and agency builds and sustains community capacity to have and use their voices to influence decisions about the distribution of resources such as housing, education, employment opportunities, and other social determinants of health. Efforts such as this help to strengthen local capacity to engage in policy analysis and action to influence decision making in a manner that can contribute to more equitable distribution of the social determinants of health, and ultimately to more equitable health outcomes.

Note

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References


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