Synthesis and characterization of a substituted indolizine and investigation of its photoluminescence quenching via electron-deficient nitroaromatics

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Abstract

Synthesis, characterization and the direct use of dimethyl 3-(4-aminophenyl)indolizine-1,2-dicarboxylate (1) as a photochemical sensor for the solution phase detection of nitroaromatics are presented. Fluorescent quenching experiments depict that nitroaromatics, particularly nitrophenol derivatives, may be probed by diester 1 with a detection limit of 6.66 × 10⁻⁸ M. FTIR and ¹H NMR studies together with fluorescent spectral analysis suggest that H-bonding interaction between 1 and p-nitrophenol (2d) triggers the formation of ground-state complex (1:2d) which causes the fluorescent quenching via electron transfer from the HOMO of electron-rich indolizine to the LUMO of electron-deficient nitrophenolics through hydrogen bonding.

Keywords: Indolizine, 1,3-dipolar cycloaddition, fluorescence quenching, photochemical sensor, nitroaromatics

Introduction

Detection of nitroaromatics is important both in terms of homeland security and environmental monitoring since they are present in explosive warfare agents including trinitrotoluene (TNT) and ammonium picrate (dunnite), and pollute the environment. There is an increasing demand to develop sensing devices to monitor trace nitroaromatics especially nitrophenols due to their toxicity upon inhalation and ingestion since they have been listed as potential pollutants by U.S. Environmental Protection Agency.¹ Indolizine derivatives are particularly interesting as they are well known for exhibiting a variety of pharmacologically desirable properties, including cardiovascular, anti-inflammatory and antioxidant properties,² as well as having known fluorescent properties and being used in sensor application.³⁻⁵ Indolizine derivatives bearing β-cyclodextrin groups have mostly been used for the detection of organic volatile compounds including phenol, p-cresol, 1-adamantanol and 1-adamantanoic acid due to the inclusion
capability of cyclodextrins.\textsuperscript{6,7} However, to the best of our knowledge, no experimental data has yet been released showing the photochemical fluorescence quenching of indolizines via the direct interaction of guest compounds with main indolizine skeleton. In this paper, an indolizine based photochemical sensor has been presented to detect nitroaromatics in solution phase, with higher affinity towards nitrophenols. Structure of indolizine is enlightened by FTIR, \textsuperscript{1}H NMR, \textsuperscript{13}C NMR spectroscopy, and mass spectrometry. Interaction between indolizine and aromatic compounds is probed using FTIR and NMR spectroscopy and, the detection limit of photochemical sensor is provided by fluorescence quenching experiments using fluorescence spectroscopy.

Results and Discussion

The title compound 1 was prepared via the reduction of 5 using Sn/HCl. Indolizine 5 was formed by first generating the simple Kröhnke salt, \textit{N}-(4-nitrobenzyl)-pyridinium bromide (4), followed by reaction with triethylamine and dimethyl acetylenedicarboxylate (DMAD) (Scheme 1).\textsuperscript{8,9}

\begin{align*}
\text{(a)} \quad & 3 \quad \xrightarrow{\text{Sn, HCl}} \quad 1 \\
\text{(b)} \quad & 2a \quad \quad 2b \quad \quad 2c \quad \quad 2d \quad \quad 2e \quad \quad 2f
\end{align*}

\textbf{Scheme 1.} a) Schematic representation of the synthetic route for indolizine (1) b) Aromatic guest compounds (2a-f) used in study.

Excitation of 1 at 330 nm displayed a blue emission at 458 nm in acetonitrile. However no sign of fluorescence was recorded from guest compounds (2a-f). (See ESI Figure S12) Fluorescence quantum yield of 1 was calculated as 0.035 using corrected fluorescence spectra (anthracene dissolved in ethanol as a standard ($\Phi_{\text{st}} = 0.27$ at 25 °C)).\textsuperscript{10} Sensing ability of 1 was
tested by aromatic guest compounds, phenol (2a), \( o \)-nitrophenol (2b), \( m \)-nitrophenol (2c), \( p \)-nitrophenol (2d), \( p \)-nitrotoluene (2e) and 2,4-dinitrotoluene (2f) (Figure 1).

**Figure 1.** (a) Percent decrease in fluorescence intensity of 1 (0.209 \( \mu \)M) upon the addition of (6.250 \( \mu \)M) 2a-f. (b) Fluorescence spectra (excitation at 330 nm) of 1 (0.209 \( \mu \)M) in \( CH_3CN \) in the presence of 0.066, 0.133, 0.199, 0.265, 0.332, 0.497, 0.662, 0.826, 1.639, 2.439, 3.225 and 6.250 \( \mu \)M of 2d pre-dissolved in \( CH_3CN \).

A literature method reported by She et al. has been followed to evaluate the solution phase photochemical sensing properties of 1.\(^{11} \) Stock solution of 1 (2.09 \( \times \) \( 10^{-7} \) M) and the guest molecules (2a-f) (1 \( \times \) \( 10^{-4} \) M) were prepared in \( CH_3CN \) for fluorescence spectral analysis.
Fluorescence response of 1 to quenchers was tested by adding known amount of aromatic compound (2a-f) (30 equiv, 62.5 x 10⁻⁷ M). Aromatic nitrophenol derivatives (2b-d) caused a significant decrease in the fluorescence intensity of 1 compared to phenol and nitrobenzene derivatives (2a, e and f) (Figure 1).

Photoluminescence quenching by nitroaromatics, which follows the optical excitation that produces an electron-hole pair, is usually attributable to electron-transfer quenching occurring from the excited molecule to the LUMO of the electron-deficient nitroaromatic molecule. In order to examine electron transfer theoretically HOMO—LUMO energies of 1 and 2a-f were predicted using extended Hückel method (Figure 2).

![Figure 2. Comparison of HOMO—LUMO energy levels of 1 and 2a-f.](image)

A HOMO—LUMO₂a energy gap (8.92 eV) was found to be the largest compared to HOMO₁—LUMO₂b-f energy gap indicating electron-transfer from HOMO₁ to LUMO₂a is difficult than the others. Theoretical predictions obtained for 2a were in agreement with the fluorescent quenching data. However, decrease in fluorescent intensity of 1 did not show the same trend upon addition of 2e and 2f although they have similar HOMO—LUMO energy gaps (HOMO₁—LUMO₂e (3.92 eV) / HOMO₁—LUMO₂f (3.55)) compared to 2b, 2c and 2d (HOMO₁—LUMO₂b (4.23 eV) / HOMO₁—LUMO₂c (3.73) / HOMO₁—LUMO₂d (4.40)). This was attributed to H-bonding interaction. Consistent with the previous literature,¹³,¹⁴ it is believed that H-bonding helps electron-transfer quenching in the present case.

Given the highest ability of 2d to quench the fluorescence of 1 this process was further investigated performing binding experiments. In a typical binding experiment, 3 mL solution of 1 was filled in a quartz cell of 1 cm optical path length, and stock solution of 2d (0.066, 0.133,
0.199, 0.265, 0.332, 0.497, 0.662, 0.826, 1.639, 2.439, 3.225 and 6.250 µM) was added each time into quartz cell. Excitation wavelength of 330 nm and temperature of 25 °C were employed in all experiments. (See ESI Figure S13-17 for binding titration data of (2a-c, e and f)) Regular decrease in the corrected fluorescence intensity of 1 demonstrated the response of 1 after each addition of 2d (Figure 1). From binding data, detection limit was calculated as 6.60 x 10⁻⁸ M for 2d. Similar quenching profile was also observed in the case of 2b and 2c addition. (See ESI Figure S14-15 for binding titration data of 2b and 2c) However fluorescence intensity of 1 did not show a regular decreasing trend upon addition of 2a, 2e and 2f suggesting that the little change in fluorescence intensity was probably related to weak host-guest interactions including π-π stacking.

Stern-Volmer plot constructed by binding experiment data provided after each addition of 2d to 1 showed slightly upward deviation from the linearity (Figure 3). This kind of upward curvature might be attributed to ground-state complex formation which triggers static and dynamic quenching processes. Thus, it is anticipated that fluorescent intensity of 1 might decrease as a result of a complex formation.

![Figure 3](image_url)  
**Figure 3.** Stern-Volmer plot of the emission data provided by the addition of 0.066, 0.133, 0.199, 0.265, 0.332, 0.497, 0.662, 0.826, 1.639, 2.439, 3.225 and 6.250 µM of (2d) to (1) (0.209 µM) in CH₃CN (3 mL) Final concentration of 2d was calculated after adding 2, 4, 6, 8, 10, 15, 20, 25, 50, 75, 100, 200 µL equivalent of 4-nitrophenol (2d) stock solution (1 x 10⁻⁴ M) to the stock solution of 1 (2.09 x 10⁻⁷ M).

To investigate the intermolecular interactions triggering the ground-state complex formation, spectroscopic analysis of the 1, 2d and the possible complex (1:2d) were carried out by NMR
and FTIR spectroscopy. Equimolar amounts of vacuum-dried 1 (10.64 mg, 3.28 x 10⁻² mmol) and 2d (4.5 mg, 3.28 x 10⁻² mmol) were dissolved in 1 mL of freeze-dried CDCl₃ for NMR spectroscopy analysis. Figure 4 illustrates the ¹H NMR spectra of 1, 2d and 1:2d. The ¹H NMR spectrum of 1:2d shows that the hydroxyl proton of 2d (Hₐ) at 5.74 ppm disappears upon mixing with 1 suggesting a strong interaction between 1 and 2d. The loss of Hₐ signal can probably be attributed to H-bonding between hydroxyl proton of 2d and ester carbonyl/amino functional groups of 1¹⁵-²⁰ since the possible proton exchange with water has been excluded by carrying out NMR experiments under dry conditions. It is also worth mentioning that H_c protons undergo slightly upfield shifting (-0.04 ppm) while H_e, H_j and H_k protons undergo slightly downfield shifting (0.04 ppm). However, shifts are not significant enough to relate with any possible π-π interactions between 2d and 1. Furthermore, NH₂ protons (H_d) could not be assigned as an individual signal. Close inspections on integration of the two strong signals at ca. 3.70-4.00 ppm revealed that two more protons were present under the methyl proton signals (H_g and H_h). Surprisingly, those signals were no longer present at ca. 3.70-4.00 ppm upon the addition of 2d signifying an interaction, which is probably due to the participation of H_d in H-bonding interaction.¹⁹,²¹

![Figure 4. ¹H NMR spectra (400 MHz, CDCl₃, 298 K) of 1, 2d and the complex (1:2d).](image)

FTIR spectroscopy analysis was performed also supported H-bonding interaction between 1 and 2d¹⁵-²⁰ (See experimental procedure). FTIR spectrum of 1 displays two sharp νC=O stretching vibrations at 1726 and 1686 cm⁻¹ due to the presence of methoxy ester carbonyls and two νN-H
stretching vibrations at 3457 and 3369 cm\(^{-1}\) which are characteristic for aromatic amines (Fig. 6). As expected, the FTIR spectrum of the complex (1:2d) shows a broad \(\nu_{\text{C}=\text{O}}\) stretching at 1690 cm\(^{-1}\) suggesting an intermolecular interaction between ester carbonyls with 2d, probably through H-bonding.\(^{15-20}\) It is also noticed that the \(\nu_{\text{N-H}}\) stretching vibrations shift to high energy region and appear at 3475 and 3379 cm\(^{-1}\) suggesting the participation of \(\text{NH}_2\) protons in host-guest interaction.

![Figure 5. FTIR spectra of 1 (bottom), 2d (top) and the complex (1:2d) (middle).](image)

**Conclusions**

Photoluminescence quenching of dimethyl 3-(4-aminophenyl)indolizine-1,2-dicarboxylate by electron-deficient phenol derivatives was shown in solution phase. This approach might open the way for the direct use of substituted indolizine skeletons as molecular probes. Consistent with HOMO-LUMO energies, \(^1\)H NMR and FTIR analysis results suggest that the fluorescent quenching probably occurs via electron transfer from electron-rich indolizine to electron-deficient nitrophenolics through H-bonding interaction between carbonyl/amine groups and hydroxyl. However, additional experiments including life time data, NMR titration are essential to establish the mechanism of electron transfer. Solid state photophysical properties of indolizines using carbon nanotubes as solid support which is currently under investigation in our lab, is also another interesting topic as indolizine modified nanotubes might be useful for the fabrication of sensing devices.
Experimental Section

**General.** Phenol, o-nitrophenol, m-nitrophenol, p-nitrophenol, p-nitrotoluene and 2,4-dinitrotoluene were purchased from Aldrich and used as received. Solvents were purchased from Sigma-Aldrich. $^1$H NMR and $^{13}$C NMR spectra were recorded on Bruker Avance-400 spectrometer operating at ($^1$H) 400.13 MHz and ($^{13}$C) 100.62 MHz. Chemical shifts are reported in ppm relative to CDCl$_3$ as internal standard, which was set to 7.28 ppm. High resolution mass spectra were recorded on a Micromass LCT premier instrument operating in ESI mode coupled to a time-of-flight (ToF) analyser. Infrared spectra of pure compounds (powder) were recorded using a Perkin Elmer Spectrum 100 equipped with a Pike ATR fitted with a Ge crystal. Infrared spectra of formed complexes were recorded as neat using NaCl windows. Melting points were detected using Reichert Austria (Nr 341869) melting point apparatus and not corrected. Fluorescence spectra were recorded on a Perkin Elmer LS55 luminescence spectrometer using an excitation wavelength of 330 nm. Host indolizine (1) and guest (2a-f) compounds were freshly dissolved in acetonitrile (CH$_3$CN) prior to fluorescent intensity measurement. HOMO-LUMO energy of compounds was calculated using ChemBio3D Ultra 13 software.

**N-(4-Nitrobenzyl)-pyridinium bromide (4).** The pyridinium salt (4) was prepared following a modified literature procedure.$^8$ Pyridine (3) (50 mmol) was added to p-nitrobenzyl bromide (45 mmol) and the mixture stirred for 12 h at room temperature. The resulting off-white solid was washed with diethyl ether (3 × 20 mL), ethanol (3 × 20 mL) and acetone (3 × 20 mL) to remove excess pyridine and p-nitrobenzyl bromide to afford the pyridinium bromide salt (4) (88 % yield); FTIR (ATR, $v_{\text{max}}$): (NO) 1520 (sym), (NO) 1345 (asym) cm$^{-1}$; $^1$H NMR (400 MHz, D$_2$O): 8.86-8.96 (d, 2H), 8.52-8.58 (t, 1H), 8.12-8.20 (d, 2H); 8.02-8.10 (d, 2H); 7.52-7.60 (d, 2H); 5.86-5.94 (s, 2H) $^{13}$C NMR (400MHz, CDCl$_3$): 148.23, 146.62, 144.79, 139.89, 129.86, 128.77, 124.51, 63.45; m/z (TOF MS ES$^+$) (MBr)$^+$: 215.0820 calcd, 215.0813 found.

**Dimethyl 3-(4-nitrophenoxy)-indolizine-1,2-dicarboxylate (5).** At reflux temperature with vigorous stirring, triethylamine (0.283g, 2.8 mmol) was added to the pyridinium bromide salt (4) (0.826g, 2.8 mmol) in 10 mL CHCl$_3$ followed by drop wise addition of dimethyl acetylenedicarboxylate (DMAD) (0.308g, 2.8 mmol). After solvent removal, the product was eluted with EtOAc/hexane (2:1) and crystallized from diethylether (37% yield) as orange powder; R$_f$ 0.58 (EtOAc/Hexane 2:1); mp 209-210 $^\circ$C; FTIR (ATR, $v_{\text{max}}$): (CO) 1723, 1702, (NO$_2$) 1505, 1340 cm$^{-1}$; $^1$H NMR (400MHz, CDCl$_3$): 8.39 (d, J 8.90 Hz, 2H), 8.30 (d, J 9.06 Hz, 1H), 8.10 (d, J 7.12 Hz, 1H), 7.75 (d, J 8.93 Hz, 1H), 7.22 (t, J 7.94 Hz, 1H), 6.85 (t, J 6.87 Hz, 1H), 3.94 (s, 3H), 3.86 (s, 3H). $^{13}$C NMR (100MHz, CDCl$_3$): 166.29, 163.83, 147.69, 135.99, 135.91, 130.44, 124.40, 124.30, 123.45, 123.03, 122.25, 120.76, 114.37, 103.08, 52.70, 51.48; m/z (TOF MS ES$^+$) (M+H)$^+$: 355.0924 calcd, 355.0911 found.

**Dimethyl 3-(4-aminophenox)-indolizine-1,2-dicarboxylate (1).** Dimethyl 3-(4-nitrophenoxy)indolizine-1,2-dicarboxylate (150 mg, 0.42 mmol) (5) was reduced into dimethyl 3-(4-aminophenox)indolizine-1,2-dicarboxylate (1) using the given literature procedure.$^9$ After solvent...
removal, the product was eluted with EtOAc/hexane (2:1) and crystallized from diethylether (90% yield) as pale yellow powder; R$_f$ 0.53 (EtOAc/Hexane 2:1); mp 168-169 °C; FTIR (ATR, $\nu_{max}$): (NH$_2$) 3457, 3369 (CO) 1726, 1686 cm$^{-1}$; $^1$H NMR (400MHz, CDCl$_3$): 8.21 (d, J 9.17 Hz, 1H), 8.03 (d, J 7.10 Hz, 1H), 7.28 (d, J 8.5 Hz, 2H), 7.10 (t, J 7.89 Hz, 1H), 6.78 (d, J 8.48 Hz, 2H), 6.70 (t, J 6.79 Hz, 1H), 3.91 (s, 4H), 3.83 (s, 4H). $^{13}$C NMR (100MHz, CDCl$_3$): 167.16, 164.38, 147.17, 134.98, 131.20, 125.65, 123.76, 123.32, 121.45, 120.22, 118.23, 115.29, 113.17, 101.48, 52.43, 51.25; m/z (TOF MS $^{ES}$+) (MH$^+$): 325.1182 calcd, 325.1176 found.

**General procedure for solution phase sensing.** A stock solution of (1) (2.09 x 10$^{-7}$ M), and the guest molecules (2a-f) (1 x 10$^{-4}$ M) were prepared in CH$_3$CN for fluorescence spectral analysis. 3 mL solution of (1) was filled in a quartz cell of 1 cm optical path length, and stock solution of (2d) (0.066, 0.133, 0.199, 0.265, 0.332, 0.497, 0.826, 1.639, 2.439, 3.225 and 6.250 µM) was added each time into quartz cell. Excitation wavelength of 330 nm and temperature of 25 °C were employed in all experiments. Emission spectra were recorded after shaking the mixture.

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